

TRANSMITTAL LETTER			Case No. 8406/4
Serial No. 08/868,200	Filing Date June 3, 1997	Examiner E. Stanley	Group Art Unit 2762
Inventor(s) Howard B. Garber			
Title of Invention SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL MARKET MAKER			

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith is Submission Of Corrected Drawings with Three Sheets of Drawings and

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.

Petition for a 1 month extension of time.

No additional fee is required.

The fee has been calculated as shown below:

		Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Other Than Small Entity	
Total		Minus				Rate	Add'l Fee	Rate	Add'l Fee
Indep.		Minus				x \$11 =		x \$22 =	
First Presentation of Multiple Dep. Claim						x \$41 =		x \$82 =	
						+ \$135 =		+ \$270 =	
						total add'l fee	\$	total add'l fee	\$

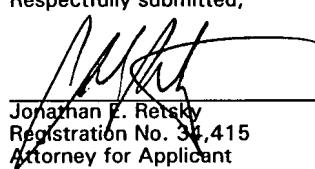
Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ _____. A duplicate copy of this sheet is enclosed.

A check in the amount of \$55.00 to cover the filing fee is enclosed.

The Assistant Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

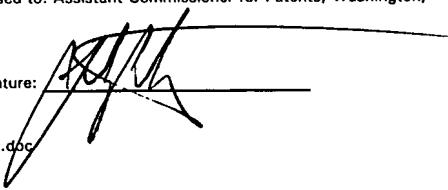
I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


Jonathan E. Retsky
Registration No. 34,415
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 20, 1998.

Date: 5/20/98 Signature: 
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